Algebraic and model-theoretic methods in constraint satisfaction

Michael Pinsker

Technische Universität Wien / Université Diderot - Paris 7 Funded by FWF grant I836-N23

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Constraint Satisfaction

Michael Pinsker

Part I: CSPs

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Part II: pp definitions / polymorphism clones / ω -categoricity

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Model theory, Universal algebra, Ramsey theory, Topological dynamics \rightarrow Theoretical computer science

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 \rightarrow Theoretical computer science

Building new dimension out of two smaller

Most statements in this presentation are imprecise / false.



Part I CSPs

Constraint Satisfaction

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Irrelevant whether Γ is finite or infinite.

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Input: A finite directed graph (D; E)Question: Is (D; E) acyclic?

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Computational problem: Graph-SAT(Ψ) INPUT:

- A finite set *W* of variables (vertices), and
- statements ϕ_1, \ldots, ϕ_n about the elements of W, where each ϕ_i is taken from Ψ .

QUESTION: Is $\bigwedge_{1 \le i \le n} \phi_i$ satisfiable in a graph?

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Computational complexity depends on Ψ . Always in NP.
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$$\psi_1(x, y, z) := (E(x, y) \land \neg E(y, z) \land \neg E(x, z)) \\ \lor (\neg E(x, y) \land E(y, z) \land \neg E(x, z)) \\ \lor (\neg E(x, y) \land \neg E(y, z) \land E(x, z)) .$$

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 Γ_{Ψ} is a reduct of *G*, i.e., a structure with a first-order definition in *G* (without parameters).

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An instance

$$W = \{w_1, \dots, w_m\}$$
$$\phi_1, \dots, \phi_n$$

of Graph-SAT(Ψ) has a positive solution \leftrightarrow the sentence $\exists w_1, \ldots, w_m$. $\bigwedge_i \phi_i$ holds in Γ_{Ψ} .

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Graph-SAT(\Psi) = CSP(\Gamma_{\Psi}).
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Graph-SAT problems \leftrightarrow CSPs of reducts of the random graph.

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Asks whether a given conjunction using ψ_1, \ldots, ψ_n is satisfiable in some member of C.

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Conjecture (Bodirsky + MP '11)

Let \mathbb{C} be a Fraïssé class which is finitely bounded (i.e., given by finitely many forbidden substructures).

Then C-SAT is always in P or NP-complete.



Part II

pp definitions / polymorphism clones / ω -categoricity

Constr	aint	Sati	sfac	tion

Primitive positive definitions

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Observation (Bulatov + Krokhin + Jeavons '00)

Expanding Γ by pp definable relations increases the complexity of the CSP by at most polynomial-time.

Polymorphism clones

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Polymorphism clones

Let Γ be a structure.

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Observe: Pol(\Gamma) \supseteq End(\Gamma) \supseteq Aut(\Gamma).
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Hence, the complexity of $CSP(\Gamma)$ only depends on $Pol(\Gamma)$:

Corollary

Let Γ be ω -categorical or finite.

If $Pol(\Gamma) \subseteq Pol(\Gamma')$, then $CSP(\Gamma')$ is polynomial-time reducible to $CSP(\Gamma)$.

Graph-SAT classification



Constraint Satisfaction



Part III

pp interpretations / topological clones

Constraint Satisfaction

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Pointwise convergence on functions $f: D^n \to D$. D... discrete; D^{D^n} product topology. $(f_i)_{i \in \omega}$ converges to f iff the f_i eventually agree with f for every point. Set of all finitary functions $\bigcup_n D^{D^n}...$ sum space.

Constraint Satisfaction

Theorem (Bodirsky + MP '12)

Let Δ , Γ be ω -categorical or finite. TFAE:

- Δ has a pp interpretation in Γ ;
- there exists a continuous homomorphism $\xi \colon \mathsf{Pol}(\Gamma) \to \mathsf{Pol}(\Delta)$ whose image is dense in an oligomorphic function clone.

Theorem (Bodirsky + MP '12)

Let Δ , Γ be ω -categorical or finite. TFAE:

- Δ has a pp interpretation in Γ ;
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When Δ has a pp interpretation in Γ , then CSP(Δ) is polynomial-time reducible to CSP(Γ).

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Corollary

Let Δ , Γ be ω -categorical or finite. If $Pol(\Delta) \cong Pol(\Gamma)$, then $CSP(\Delta)$ and $CSP(\Gamma)$ are polynomial-time equivalent.

The worst of the finite

Constraint Satisfaction
Let $\Pi := (\{0,1\}; \{(1,0,0), (0,1,0), (0,0,1)\}).$

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Corollary

Let Γ be ω -categorical. TFAE:

- **Π** has a pp interpretation in Γ ;
- there exists a continuous clone homomorphism ξ : Pol(Γ) \rightarrow 1;
- **all finite structures have a pp interpretation in** Γ .

 $\mathsf{\Gamma} := (\mathbb{Q}; \{(x, y, z) \in \mathbb{Q}^3 \mid x < y < z \ \lor \ z < y < x\})$

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So the Betweenness problem is NP-hard.



Part IV

The tractability conjecture

Constraint Satisfaction

Michael Pinsker

The finite tractability conjecture

Constraint Satisfaction

Michael Pinsker

The finite tractability conjecture

Conjecture

(Feder + Vardi '93; Bulatov + Jeavons + Krokhin '05; Barto + Kozik '10)

Let Γ be finite. Then:

- either Pol(Γ) has a homomorphism to 1 (and CSP(Γ) is NP-complete),
- or $Pol(\Gamma)$ contains a cyclic operation *f* of arity n > 1, i.e.,

$$f(x_1,\ldots,x_n)=f(x_2,\ldots,x_n,x_1)$$

and $CSP(\Gamma)$ is in P.

Reducts of $(\mathbb{Q}; <)$

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- either Pol(Γ) has a continuous homomorphism to 1 (and CSP(Γ) is NP-hard);
- or there are $f(x_1, x_2) \in Pol(\Gamma)$ and $\alpha, \beta \in Aut(\mathbb{Q}; <)$ such that

$$f(x_1, x_2) = \alpha(f(\beta x_2, \beta x_1))$$

and $CSP(\Gamma)$ is in P.

Reducts of the random graph

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Theorem (Bodirsky + MP '11, reformulated)

Let Γ be a reduct of the random graph. Then:

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- either Pol(Γ) has a continuous homomorphism to 1 (and CSP(Γ) is NP-hard);
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$$f(x_1, x_2, x_3) = \alpha(f(x_3, x_1, x_2))$$

and $CSP(\Gamma)$ is in P.

Infinite tractability conjecture

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Infinite tractability conjecture

Fact: There are homogeneous digraphs with undecidable CSP.

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Definition

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Examples: $(\mathbb{Q}; <)$ and the random graph.

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A structure with finite relational signature is finitely bounded iff its age is determined by finitely many forbidden substructures.

Examples: $(\mathbb{Q}; <)$ and the random graph.

Fact: The CSP of any reduct of a finitely bounded structure is in NP.

Infinite tractability conjecture

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Conjecture (Bodirsky + MP '13)

Let Γ be a reduct of a finitely bounded homogeneous structure.

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Conjecture (Bodirsky + MP '13)

Let Γ be a reduct of a finitely bounded homogeneous structure.

 Either Pol(Γ) has a continuous homomorphism to 1 (and CSP(Γ) is NP-hard);

 or Pol(Γ) satisfies a non-trivial equation, and CSP(Γ) is in P.
Proof method

Constraint Satisfaction

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Identify relations R such that Pol(V; R) has a continuous homomorphism to 1 (hard relations).

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- If none of those hard relations is pp definable in Γ, then there are functions in Pol(Γ) witnessing this.
- Using Ramsey theory we find canonical such polymorphisms.
- These canonical polymorphisms are essentially finite functions. So they allow for combinatorial analysis and algorithmic use, and "should" satisfy equations.



Part V

Canonical functions / Ramsey theory

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Definition

Let Δ be a structure.

 $f: \Delta^n \to \Delta$ is canonical iff for all tuples t_1, \ldots, t_n of the same length the type of $f(t_1, \ldots, t_n)$ in Δ only depends on the types of the tuples t_1, \ldots, t_n in Δ .

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Examples on the random graph

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- self-embeddings;
- flipping edges and non-edges;
- injections onto a clique;
- binary edge-max or edge-min.

Constraint Satisfaction

Definition (Ramsey structure Δ)

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For all finite substructures P, H of Δ : Whenever we color the copies of P in Δ with 2 colors then there is a monochromatic copy of H in Δ .

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Theorem (Nešetřil + Rödl)

The random ordered graph is Ramsey.

Constraint Satisfaction

Constraint Satisfaction

Proposition (Bodirsky + MP + Tsankov '11)

Let

- \blacksquare Δ be ordered Ramsey homogeneous finite language
- $\bullet f: \Delta^n \to \Delta$
- $\blacksquare c_1,\ldots,c_k \in \Delta.$

Proposition (Bodirsky + MP + Tsankov '11)

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 $\overline{\{\beta(f(\alpha_1(x_1),\ldots,\alpha_n(x_n))) \mid \beta,\alpha_i \in \mathsf{Aut}(\Delta,c_1,\ldots,c_k)\}}$

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Proof: Via topological dynamics (Kechris + Pestov + Todorcevic '05): Aut(Δ , c_1 ,..., c_k) acts on above set: (α , g) \mapsto $g(\alpha^{-1}(x_1),...,\alpha^{-1}(x_n))$.

Using canonical functions

Constraint Satisfaction

Using canonical functions

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Canonical functions of same behavior belong to the same polymorphism clones $\supseteq Aut(\Delta)$.

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If Δ is homogeneous in a finite language, there are only finitely many behaviors of *n*-ary canonical functions.

Canonical functions of same behavior belong to the same polymorphism clones $\supseteq Aut(\Delta)$.

Conclusion: Violation of hard relations (and thus non-existence of a continuous homomorphism to **1**) is witnessed by canonical functions.

Complexity of CSP for reducts of the random graph

Constraint Satisfaction

Complexity of CSP for reducts of the random graph

Theorem (Bodirsky + MP '10)

Let Γ be a reduct of the random graph. Then:

- Either Γ has one out of 17 canonical polymorphisms, and CSP(Γ) is tractable,
- or $CSP(\Gamma)$ is NP-complete.

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Theorem (Bodirsky + MP '10)

Let Γ be a reduct of the random graph. Then:

 Either Γ pp-defines one out of 5 hard relations, and CSP(Γ) is NP-complete,

• or $CSP(\Gamma)$ is tractable.

Graph-SAT classification



Constraint Satisfaction



Future work

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Michael Pinsker

Does every homogeneous structure in a finite relational language have a homogeneous Ramsey expansion by finitely many relation symbols?
(Bodirsky + MP + Tsankoy - Decidability of definability)

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- If Pol(Γ) has a homomorphism to 1, does it also have a continuous homomorphism?

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- Clarify relationship between canonical functions and their finite counterparts (algorithmic / equational).
- Let Δ be homogeneous in a finite relational language.
 Does Aut(Δ) have finitely many closed supergroups?
 (S. Thomas, *Reducts of the random graph*)



Thank you

Constraint Satisfaction

Michael Pinsker