#### **Constraint Satisfaction over Infinite Structures**

#### **Michael Pinsker**

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**Constraint Satisfaction over Infinite Templates** 

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#### Constraint Satisfaction Problems

- Constraint Satisfaction Problems
- Polymorphism clones

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- Topological clones

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- Model-complete cores

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 $\Gamma$  is called the template of the CSP.

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Question: Is there a weak linear order on the variables such that for each triple either x < y < z or z < y < x?

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Is a CSP: template is  $(\mathbb{Q}; \{(x, y, z) \mid (x < y < z) \lor (z < y < x)\})$ 

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#### Theorem (Bodirsky + MP '10)

Graph-SAT problems are always in P or NP-complete.

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**Example.** Let  $\Gamma$  have precisely the relation

$$\begin{aligned} R(x,y,z) &: \leftrightarrow (E(x,y) \land \neg E(y,z) \land \neg E(x,z)) \\ &\vee (\neg E(x,y) \land E(y,z) \land \neg E(x,z)) \\ &\vee (\neg E(x,y) \land \neg E(y,z) \land E(x,z)) . \end{aligned}$$

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**Example.** Let  $\Gamma'$  have precisely the relation

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### Graph-SAT: Examples

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$$\begin{aligned} & \mathsf{R}'(x,y,z) : \leftrightarrow (\mathsf{E}(x,y) \land \neg \mathsf{E}(y,z) \land \neg \mathsf{E}(x,z)) \\ & \lor (\neg \mathsf{E}(x,y) \land \mathsf{E}(y,z) \land \neg \mathsf{E}(x,z)) \\ & \lor (\neg \mathsf{E}(x,y) \land \neg \mathsf{E}(y,z) \land \mathsf{E}(x,z)) \\ & \lor (\mathsf{E}(x,y) \land \mathsf{E}(y,z) \land \mathsf{E}(x,z)) . \end{aligned}$$

 $CSP(\Gamma')$  is in P.

### CSPs of reducts of homogeneous structures

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It asks whether elements in members of  $\mathbb{C}$  can satisfy a given conjunction of properties from  $\{R_1, \ldots, R_n\}$ .

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Note: This type of CSP cannot be modeled by finite templates.



### **Polymorphism clones**

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### A $\tau$ -formula is primitive positive (pp) iff it is of the form

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where  $\psi_i$  are atomic.

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### Observation

Expanding  $\Gamma$  by pp definable relations increases the complexity of the CSP only by polynomial-time.

# Polymorphism clones

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Let  $\Gamma$  be a structure.

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Observe: Pol(\Gamma) \supseteq End(\Gamma) \supseteq Aut(\Gamma).
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Theorem (Bodirsky + Nešetřil '03)

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Hence, the complexity of  $CSP(\Gamma)$  only depends on  $Pol(\Gamma)$ :

Corollary

Let  $\Gamma$  be  $\omega$ -categorical.

If  $Pol(\Gamma) = Pol(\Gamma')$ , then  $CSP(\Gamma)$  and  $CSP(\Gamma')$  are polynomial-time equivalent.



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Theorem (Bulatov + Jeavons + Krokhin '05)

Let  $\Gamma$  be finite. The complexity of CSP( $\Gamma$ ) only depends on the algebraic structure of Pol( $\Gamma$ ), i.e., on Pol( $\Gamma$ ) viewed as an abstract clone.

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### Reason: combination of

- Birkhoff's HSP<sup>fin</sup> theorem
- the fact that when Γ' has a pp interpretation in Γ, then CSP(Γ') is polynomial-time reducible to CSP(Γ).

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If there exists a continuous homomorphism from  $Pol(\Gamma)$  onto  $Pol(\Gamma')$ , then  $CSP(\Gamma')$  is polynomial-time reducible to  $CSP(\Gamma)$ .

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For countable  $\omega$ -categorical  $\Gamma$ , the complexity of CSP( $\Gamma$ ) only depends on Pol( $\Gamma$ ) viewed as an topological clone.

## A hardness criterion

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### Let **1** be the clone of projections on any set of size $\geq$ 2.

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Corollary

Let  $\Gamma$  be  $\omega$ -categorical.

If  $Pol(\Gamma)$  has a continuous homomorphism to **1**, then  $CSP(\Gamma)$  is NP-hard.



### **Model-complete cores**

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**Def:**  $\Gamma$  is called a core iff  $\text{Emb}(\Gamma) = \text{End}(\Gamma)$ .

A structure is model-complete iff embeddings between models of its theory preserve first-order formulas.

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**Fact:** Let  $\Gamma$  be a countable  $\omega$ -categorical relational structure. Then  $\Gamma$  is model-complete iff  $\overline{\operatorname{Aut}(\Gamma)} = \operatorname{Emb}(\Gamma)$ .

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So an  $\omega$ -categorical model-complete core  $\Gamma$  satisfies  $\overline{\operatorname{Aut}(\Gamma)} = \operatorname{End}(\Gamma)$ .

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This is a property of the topological clone  $Pol(\Gamma)$ .

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Theorem (Bodirsky + Hils + Martin '10)

Every finite or  $\omega$ -categorical structure is homomorphically equivalent to a model complete core  $\Delta$ .

 $\Delta$  is unique up to isomorphism and  $\omega$ -categorical.

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We are interested in topological clones whose unary invertible elements are dense in the unary sort.



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**Consequence:** For finite  $\Gamma$ , one can assume that Pol( $\Gamma$ ) is idempotent.

Conjecture (Bulatov + Jeavons + Krokhin '05; Barto + Kozik '10)

Let  $\Gamma$  be finite, and Pol( $\Gamma$ ) be idempotent. Then

- either Pol(Γ) has a homomorphism to 1 (and CSP(Γ) is NP-hard),
- or  $Pol(\Gamma)$  contains a cyclic operation *f* of arity n > 1, i.e.,

$$f(x_1,\ldots,x_n)=f(x_2,\ldots,x_n,x_1)$$

and  $CSP(\Gamma)$  is in P.

## Reducts of $(\mathbb{Q}; <)$

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# Reducts of $(\mathbb{Q}; <)$

### Theorem (Bodirsky + Kara '08, reformulated)

Let  $\Gamma$  be a reduct of  $(\mathbb{Q}; <)$ . Then one of the following holds.

 there is an expansion Γ' of the model-complete core of Γ by finitely many constants such that Pol(Γ') has a continuous homomorphism to 1 (and CSP(Γ) is NP-hard);

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- there is an expansion Γ' of the model-complete core of Γ by finitely many constants such that Pol(Γ') has a continuous homomorphism to 1 (and CSP(Γ) is NP-hard);
- there are  $f(x_1, x_2) \in Pol(\Gamma)$  and  $\alpha, \beta \in Aut(\mathbb{Q}; <)$  such that

$$f(\mathbf{x}_1, \mathbf{x}_2) = \alpha(f(\beta \mathbf{x}_2, \beta \mathbf{x}_1))$$

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## Reducts of the random graph G

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- there are  $f(x_1, x_2, x_3) \in Pol(\Gamma)$  and  $\alpha \in Aut(G)$  such that

$$f(x_1, x_2, x_3) = \alpha(f(x_3, x_1, x_2))$$

and  $CSP(\Gamma)$  is in P.

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Identify relations R such that Pol(V; R) has a continuous homomorphism to 1.

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- If none of those hard relations is pp definable in Γ, then there are functions in Pol(Γ) witnessing this.
- Using Ramsey theory we find canonical (='nice') such polymorphisms.

- Identify relations R such that Pol(V; R) has a continuous homomorphism to 1.
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- If none of those hard relations is pp definable in Γ, then there are functions in Pol(Γ) witnessing this.
- Using Ramsey theory we find canonical (='nice') such polymorphisms.
- These canonical polymorphisms are essentially finite functions. So they allow for combinatorial analysis and algorithmic use, and "should" satisfy equations.



**Constraint Satisfaction over Infinite Templates** 

**Michael Pinsker** 

**Constraint Satisfaction over Infinite Templates** 

### Definition

Let  $\Delta$  be a structure.

 $f: \Delta^n \to \Delta$  is canonical iff for all tuples  $t_1, \ldots, t_n$  of the same length the type of  $f(t_1, \ldots, t_n)$  only depends on the types of the tuples  $t_1, \ldots, t_n$ .

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#### Examples on the random graph

- self-embeddings;
- flipping edges and non-edges;
- injections onto a clique;
- binary edge-max or edge-min.

Constraint Satisfaction over Infinite Templates

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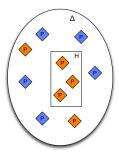
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For all finite substructures P, H of  $\Delta$ : Whenever we color the copies of P in  $\Delta$  with 2 colors then there is a monochromatic copy of H in  $\Delta$ .

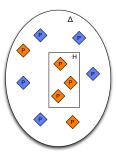
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#### Theorem (Nešetřil-Rödl)

The random ordered graph is Ramsey.

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Proposition (Bodirsky + MP + Tsankov '11)
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- $\blacksquare$   $\triangle$  be ordered Ramsey homogeneous finite language
- $\blacksquare f: \Delta^n \to \Delta$
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- is identical with *f* on  $\{c_1, \ldots, c_k\}^n$ .

Proof: Via topological dynamics (Kechris + Pestov + Todorcevic '05).

### Using canonical functions

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If  $\Delta$  is homogeneous in a finite language, there are only finitely many behaviors of *n*-ary canonical functions.

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Canonical functions of same behavior generate one another.

**Conclusion:** Violation of hard relations (and thus non-existence of a continuous homomorphism to **1**) is witnessed by canonical functions.



#### The infinite tractability conjecture

Constraint Satisfaction over Infinite Templates

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Fact: There are homogeneous digraphs with undecidable CSP.

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A structure with finite relational signature is finitely bounded iff its age is determined by finitely many forbidden substructures.

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#### Definition

A structure with finite relational signature is finitely bounded iff its age is determined by finitely many forbidden substructures.

**Examples:**  $(\mathbb{Q}; <)$  and the random graph.

Fact: The CSP of any reduct of a finitely bounded structure is in NP.

#### Conjecture (Bodirsky + MP '11)

Let  $\Gamma$  be a reduct of a finitely bounded homogeneous structure. Then CSP( $\Gamma$ ) is in P or NP-complete.

### Infinite tractability conjecture

**Constraint Satisfaction over Infinite Templates** 

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# Infinite tractability conjecture

Let  $\Gamma$  be a reduct of a finitely bounded homogeneous structure.

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Conjecture (Bodirsky + MP '13)

One of the following holds.

 there is an expansion Γ' of Γ by finitely many constants such that Pol(Γ') has a continuous homomorphism to 1 (and CSP(Γ) is NP-hard); Let  $\Gamma$  be a reduct of a finitely bounded homogeneous structure. Assume  $\Gamma$  is a model-complete core.

Conjecture (Bodirsky + MP '13)

One of the following holds.

 there is an expansion Γ' of Γ by finitely many constants such that Pol(Γ') has a continuous homomorphism to 1 (and CSP(Γ) is NP-hard);

**Pol**( $\Gamma$ ) satisfies a non-trivial equation, and CSP( $\Gamma$ ) is tractable.

# Open problems

**Constraint Satisfaction over Infinite Templates** 

Does every homogeneous structure in a finite relational language have a homogeneous Ramsey expansion by finitely many relation symbols?

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- When Γ is the reduct of a finitely bounded homogeneous structure, is the model-complete core of Γ also the reduct of a finitely bounded homogeneous structure?

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- When Γ is the reduct of a finitely bounded homogeneous structure, is the model-complete core of Γ also the reduct of a finitely bounded homogeneous structure?
- If Pol(Γ) has a homomorphism to 1, does it also have a continuous homomorphism?