

LOCAL RESULTS ON THE SUM-OF-DIGITS FUNCTION OF PRIMES AND SQUARES

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q -Ary Digital Expansion

$q \geq 2$... **integer basis** of digital expansion in \mathbb{N}

$\mathcal{N} = \{0, 1, \dots, q - 1\}$... set of **digits**

$n \in \mathbb{N} \implies$

$$n = \sum_{j \geq 0} \varepsilon_j(n) q^j \quad \text{with } \varepsilon_j(n) \in \mathcal{N}.$$

Sum-of-digits function

$$s_q(n) = \sum_{j \geq 0} \varepsilon_j(n)$$

Thue-Morse Sequence

$$t_n = \frac{1 - (-1)^{s_2(n)}}{2}$$

$$t_n = \begin{cases} 0 & \text{if } s_2(n) \text{ is even,} \\ 1 & \text{if } s_2(n) \text{ is odd.} \end{cases}$$

Thue-Morse Sequence

$$t_n = \frac{1 - (-1)^{s_2(n)}}{2}$$

0

Thue-Morse Sequence

$$t_n = \frac{1 - (-1)^{s_2(n)}}{2}$$

01

Thue-Morse Sequence

$$t_n = \frac{1 - (-1)^{s_2(n)}}{2}$$

0110

Thue-Morse Sequence

$$t_n = \frac{1 - (-1)^{s_2(n)}}{2}$$

01101001

Thue-Morse Sequence

$$t_n = \frac{1 - (-1)^{s_2(n)}}{2}$$

0110100110010110

Thue-Morse Sequence

$$t_n = \frac{1 - (-1)^{s_2(n)}}{2}$$

01101001100101101001011001101001

Thue-Morse Sequence

$$t_n = \frac{1 - (-1)^{s_2(n)}}{2}$$

011010011001011010010110011010011001011001101...

Thue-Morse Sequence

$$t_n = \frac{1 - (-1)^{s_2(n)}}{2}$$

011010011001011010010110011010011001011001101...

$$t_{2^k+n} = 1 - t_n \quad (0 \leq n < 2^k)$$

Thue-Morse Sequence

$$t_n = \frac{1 - (-1)^{s_2(n)}}{2}$$

011010011001011010010110011010011001011001101...

$$t_{2^k+n} = 1 - t_n \quad (0 \leq n < 2^k)$$

or

$$t_{2k} = t_k, \quad t_{2k+1} = 1 - t_k$$

Frequency of Letters

$$\begin{aligned}\#\{n < N : t_n = 0\} &= \#\{n < N : t_n = 1\} + O(1) \\ &= \frac{N}{2} + O(1)\end{aligned}$$

equivalently

$$\begin{aligned}\#\{n < N : s_2(n) \equiv 0 \pmod{2}\} &= \#\{n < N : s_2(n) \equiv 1 \pmod{2}\} + O(1) \\ &= \frac{N}{2} + O(1)\end{aligned}$$

Subsequences of the Thue-Morse Sequence

$(n_k)_{k \geq 0}$ increasing sequence of natural numbers

Problem:

$$\#\{k < K : t_{n_k} = 0\} = \text{????}$$

Equivalently

$$\#\{k < K : s_2(n_k) \equiv 0 \pmod{2}\} = \text{????}$$

Examples:

- $n_k = ak + b$
- $n_k = k\text{-th prime } p_k$
- $n_k = k^2$ etc.

Linear Subsequences

Gelfond 1967/1968

$m, s \dots$ positive integers with $(s, q - 1) = 1$.

$$\Rightarrow \boxed{\#\{n < N : n \equiv \ell \pmod{m}, s_q(n) \equiv t \pmod{s}\} = \frac{N}{ms} + O(N^\lambda)}$$

with $0 < \lambda < 1$.

In particular:

$$\begin{aligned} \#\{k < K : s_2(ak + b) \equiv 0 \pmod{2}\} &= \#\{k < K : t_{ak+b} = 0\} \\ &= \frac{K}{2} + O(K^\lambda) \end{aligned}$$

Linear Subsequences

$$q = 2$$

Lemma

$$\sum_{n < 2^L} x^{s_2(n)} y^n = \prod_{\ell < L} \left(1 + xy^{2^\ell} \right)$$

Corollary 1 $e(x) := e^{2\pi i x}$

$$\begin{aligned} \#\{n < 2^L : n \equiv \ell \pmod{m}, s_q(n) \equiv t \pmod{s}\} \\ &= \frac{1}{ms} \sum_{i=0}^{m-1} \sum_{j=0}^{s-1} e\left(-\frac{i\ell}{m} - \frac{jt}{s}\right) \prod_{\ell < L} \left(1 + e\left(\frac{i}{s} + \frac{2^\ell j}{m}\right) \right) \\ &= \frac{2^L}{ms} + O(2^{\lambda L}) \end{aligned}$$

Uniform Distribution modulo 1

Corollary 2 α irrational, $h \neq 0$ integer

$$\implies \sum_{n < 2^L} e(h\alpha s_2(n)) = (1 + e(h\alpha))^L = o(2^L).$$

With a little bit more effort:

$$\sum_{n < N} e(h\alpha s_2(n)) = o(N)$$

Weyl's criterion \implies $\alpha s_2(n)$ uniformly distributed modulo 1.

By assuming certain Diophantine approximation properties for α these bounds also imply estimates for the *discrepancy* $D_N(\alpha s_2(n))$.

Gelfond's Problems

Gelfond 1967/1968

1. $q_1, q_2, \dots, q_d \geq 2$, $(q_i, q_j) = 1$ for $i \neq j$, $(m_j, q_j - 1) = 1$:

$$\#\{n < N : s_{q_j}(n) \equiv \ell_j \pmod{m_j}, 1 \leq j \leq d\} = \frac{N}{m_1 \cdots m_d} + O(N^{1-\eta})$$

2. $(m, q - 1) = 1$:

$$\#\{\text{primes } p < N : s_q(p) \equiv \ell \pmod{m}\} = \frac{\pi(N)}{m} + O(N^{1-\eta})$$

3. $(m, q - 1) = 1$, $P(x) \in \mathbb{N}[x]$:

$$\#\{n < N : s_q(P(n)) \equiv \ell \pmod{m}\} = \frac{N}{m} + O(N^{1-\eta})$$

Gelfond's Problems

Gelfond 1967/1968

1. $q_1, q_2, \dots, q_d \geq 2$, $(q_i, q_j) = 1$ for $i \neq j$, $(m_j, q_j - 1) = 1$: Kim 1999

$$\#\{n < N : s_{q_j}(n) \equiv \ell_j \pmod{m_j}, 1 \leq j \leq d\} = \frac{N}{m_1 \cdots m_d} + O(N^{1-\eta})$$

2. $(m, q - 1) = 1$: Mauduit, Rivat 2005+

$$\#\{\text{primes } p < N : s_q(p) \equiv \ell \pmod{m}\} = \frac{\pi(N)}{m} + O(N^{1-\eta})$$

3. $(m, q - 1) = 1$, $P(x) \in \mathbb{N}[x]$: Mauduit, Rivat 2007+ for $P(x) = x^2$

$$\#\{n < N : s_q(n^2) \equiv \ell \pmod{m}\} = \frac{N}{m} + O(N^{1-\eta})$$

Gelfond's 1st Problem

Besineau 1972: solution without error terms

Kim 1999: bounds on exponential sums:

($e(x) = e^{2\pi ix}$, $\|x\| = \min_{k \in \mathbb{Z}} |x - k|$... distance to the nearest integer)

$$\left| \frac{1}{N} \sum_{n < N} e(\alpha_1 s_{q_1}(n) + \alpha_2 s_{q_2}(n) + \cdots + \alpha_d s_{q_d}(n)) \right| \\ \ll \exp \left(-\eta \log N \sum_{j=1}^d \| (q_j - 1)\alpha_j \|^2 \right),$$

($\alpha_j \in \mathbb{Q}$: Kim, $\alpha_j \in \mathbb{R}$: Drmota, Larcher)

Gelfond's 1st Problem

Applications of Kim's method

Drmota, Larcher 2001: $q_1, q_2, \dots, q_d \geq 2$, $(q_i, q_j) = 1$ for $i \neq j$, $\alpha_1, \dots, \alpha_d$ irrational:

$$(\alpha_1 s_{q_1}(n), \dots, \alpha_d s_{q_d}(n))_{n \geq 0} \in \mathbb{R}^d \quad \text{uniformly distributed mod 1.}$$

Thuswaldner, Tichy 2005: $q_1, q_2, \dots, q_d \geq 2$, $(q_i, q_j) = 1$ for $i \neq j$.

For $d > 2^k$ the number of representations of

$$N = x_1^k + \dots + x_d^k \quad \text{with} \quad s_{q_j}(x_j) \equiv \ell_j \pmod{m_j}, \quad 1 \leq j \leq d$$

is asymptotically given by

$$\frac{\mathfrak{S}(N)}{m_1 \cdots m_d} \frac{\Gamma\left(1 + \frac{1}{k}\right)^d}{\Gamma\left(\frac{d}{k}\right)} N^{\frac{d}{k}-1} + O\left(N^{\frac{d}{k}-1-\eta}\right).$$

Gelfond's 2nd Problem

Mauduit, Rivat 2005+: α real number

$$\left| \frac{1}{N} \sum_{n < N} \Lambda(n) e(\alpha s_q(n)) \right| \ll \exp(-\eta \log N \| (q-1)\alpha \|^2)$$

Corollary

$$\left| \frac{1}{\pi(N)} \sum_{p < N} e(\alpha s_q(p)) \right| \ll \exp(-\eta \log N \| (q-1)\alpha \|^2)$$

Applications

- α irrational \implies

$(\alpha s_q(p))_{p \text{ prime}}$ is uniformly distributed mod 1.

Gelfond's 2nd Problem

Applications (cont.)

- Set $\alpha = j/m$ + discrete Fourier analysis \implies

$$\#\{\text{primes } p < N : s_q(p) \equiv \ell \pmod{m}\} = \frac{\pi(N)}{m} + O(N^{1-\eta})$$

- $t_n \dots$ Thue-Morse sequence \implies

$$\#\{\text{primes } p < N : t_p = 0\} = \frac{\pi(N)}{2} + O(N^{1-\eta})$$

Gelfond's 2nd Problem

Gaussian primes

$q = -a + i \dots$ basis for digital expansion in $\mathbb{Z}[i]$ ($a \in \{1, 2, \dots\}$)

$\mathcal{N} = \{0, 1, \dots, a^2\} \dots$ digit set

$$z \in \mathbb{Z}[i] \implies \boxed{z = \sum_{j \geq 0} \varepsilon_j(z) q^j} \text{ with } \varepsilon_j(z) \in \mathcal{N}$$

$s_q(z) = \sum_{j \geq 0} \varepsilon_j(z) \dots$ sum-of-digits function

Drmota, Rivat, Stoll 2007+

Suppose that $a \geq 28$ such that $q = -a + i$ is prime,
i.e. $a \in \{36, 40, 54, 56, 66, 74, 84, 90, 94, \dots\}$. Then

$$\frac{1}{N/\log N} \sum_{|z|^2 \leq N, z \text{ prime}} e(\alpha s_q(z)) \ll \exp(-\eta \log N \|(a^2 + 2a + 2)\alpha\|^2).$$

Gelfond's 3rd Problem

Mauduit, Rivat 1995, 2005 $1 \leq c \leq \frac{7}{5}$:

$$\#\{n < N : s_q([n^c]) \equiv \ell \pmod{m}\} \sim \frac{N}{m}$$

Dartyge, Tenenbaum 200?: There exists $C > 0$ with

$$\#\{n < N : s_q(n^2) \equiv \ell \pmod{m}\} \geq C N$$

Drmota, Rivat 2005: $s_2^{[<\lambda]}(n) = \sum_{j < \lambda} \epsilon_j(n)$, $s_2^{[\geq \lambda]}(n) = \sum_{j \geq \lambda} \epsilon_j(n)$:

$$\#\{n < 2^L : s_2^{[<L]}(n^2) \equiv 0 \pmod{2}\} \sim \frac{2^L}{2},$$

$$\#\{n < 2^L : s_2^{[\geq L]}(n^2) \equiv 0 \pmod{2}\} \sim \frac{2^L}{2}.$$

Gelfond's 3rd Problem

Mauduit, Rivat 2007+

$$\frac{1}{N} \sum_{n < N} e(\alpha s_q(n^2)) \ll (\log N)^A \exp(-\eta \log N \|(q-1)\alpha\|^2)$$

Applications

- $\#\{n < N : s_q(n^2) \equiv \ell \pmod{m}\} = \frac{N}{m} + O(N^{1-\eta})$
- $\#\{n < N : t_{n^2} = 0\} = \frac{N}{2} + O(N^\lambda)$
- $(\alpha s_q(n^2))_{n \geq 0}$ is uniformly distributed modulo 1

Global Results

Central limit theorem for $s_q(n)$:

$$\frac{1}{N} \cdot \#\{n \leq N : s_q(n) \leq \mu_q \log_q N + \textcolor{blue}{y} \sqrt{\sigma_q^2 \log_q N}\} = \Phi(\textcolor{blue}{y}) + O\left(\frac{1}{\sqrt{\log N}}\right),$$

where

$$\Phi(\textcolor{blue}{y}) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\textcolor{blue}{y}} e^{-\frac{1}{2}t^2} dt \quad \text{distribution function of the normal distribution}$$

and

$$\mu_q := \frac{q-1}{2}, \quad \sigma_q^2 := \frac{q^2-1}{12}.$$

Remark ($q = 2$)

$$\frac{1}{2^L} \sum_{n < 2^L} e^{its_2(n)} = \left(\frac{1 + e^{it}}{2} \right)^L \implies \text{CLT}$$

Global Results

Central limit theorem for $s_q(q)$: Bassily, Katai

$$\frac{1}{\pi(N)} \cdot \#\{p \leq N : s_q(p) \leq \mu_q \log_q N + \textcolor{blue}{y} \sqrt{\sigma_q^2 \log_q N}\} = \Phi(\textcolor{blue}{y}) + o(1).$$

Central limit theorem for $s_q(n^2)$: Bassily, Katai

$$\frac{1}{N} \cdot \#\{n \leq N : s_q(n^2) \leq 2\mu_q \log_q N + \textcolor{blue}{y} \sqrt{2\sigma_q^2 \log_q N}\} = \Phi(\textcolor{blue}{y}) + o(1)$$

Remark. The results also hold for $s_q(P(n))$ and $s_q(P(p))$ if $P(x)$ is a non-negative integer polynomial.

Local Results for all n

$$\begin{aligned} & \#\{n < N : s_q(n) = k\} \\ &= \frac{N}{\sqrt{2\pi\sigma_q^2 \log_q N}} \left(\exp\left(-\frac{(k - \mu_q \log_q N)^2}{2\sigma_q^2 \log_q N}\right) + O((\log N)^{-\frac{1}{2}+\varepsilon}) \right), \end{aligned}$$

Remark: This asymptotic expansion is only significant if

$$|k - \mu_q \log_q N| \leq C(\log N)^{\frac{1}{2}}$$

Note that $\frac{1}{N} \sum_{n < N} s_q(n) \sim \mu_q \log_q N$.

Local Results for all n

More precise results (only stated for $q = 2$) Mauduit, Sarközy

$$\#\{n < N : s_q(n) = k\} = F\left(\frac{k}{\log N}, \log_2 N\right) \binom{\lceil \log_2 N \rceil}{k} \left(1 + O\left(\frac{1}{\log N}\right)\right),$$

uniformly for $\varepsilon \log_2 N \leq k \leq (1 - \varepsilon) \log_2 N$, where $F(x, t)$ is analytic in x and periodic in t .

Proof is based on an representation of the form

$$\sum_{n < N} x^{s_2(n)} = F(x, \log_2 N)(1 + x)^{\log_2 N}.$$

and a saddle point analysis applied to the integral in Cauchy's formula.

Local Results for primes

Drmota, Mauduit, Rivat 2007+: $(k, q - 1) = 1$

$$\#\{\text{primes } p < N : s_q(p) = k\}$$

$$= \frac{q-1}{\varphi(q-1)} \frac{\pi(N)}{\sqrt{2\pi\sigma_q^2 \log_q N}} \left(\exp\left(-\frac{(k - \mu_q \log_q N)^2}{2\sigma_q^2 \log_q N}\right) + O((\log N)^{-\frac{1}{2}+\varepsilon}) \right),$$

where

$$\mu_q := \frac{q-1}{2}, \quad \sigma_q^2 := \frac{q^2-1}{12}.$$

Remark: This asymptotic expansion is only significant if

$$|k - \mu_q \log_q N| \leq C(\log N)^{\frac{1}{2}}$$

Note that $\frac{1}{\pi(N)} \sum_{p < N} s_q(p) \sim \mu_q \log_q N$.

Local Results for primes

This result does NOT apply for $k = 2$ and $k = [\log_2 p]$ (for $q = 2$):

$$p \text{ is Fermat prime} \iff s_2(p) = 2.$$

$$p \text{ is Mersenne prime} \iff s_2(p) = [\log_2 p].$$

Local Results for squares

Drmota, Mauduit, Rivat 2007+:

$$\begin{aligned} & \#\{n < N : s_q(n^2) = k\} \\ &= \frac{N}{\sqrt{4\pi\sigma_q^2 \log_q N}} \left(\exp \left(-\frac{(k - 2\mu_q \log_q N)^2}{4\sigma_q^2 \log_q N} \right) + O((\log N)^{-\frac{1}{2}+\varepsilon}) \right). \end{aligned}$$

Remark: Again this asymptotic expansion is only significant if

$$|k - 2\mu_q \log_q N| \leq C(\log N)^{\frac{1}{2}}$$

which is the significant range.

Local Results for squares

Dividing the sum-of-digits function into 2 parts:

$$s_2(n^2) = s_{[<k]}(n^2) + s_{[\geq k]}(n^2):$$

$$s_{[<k]}(n^2) = s(n^2 \bmod 2^k) = \sum_{j < k} \varepsilon_j(n^2)$$

collects the first k digits and

$$s_{[\geq k]}(n^2) = s\left(\left\lfloor \frac{n^2}{2^k} \right\rfloor\right) = \sum_{j \geq k} \varepsilon_j(n^2)$$

and remaining digits.

Local Results for squares

Drmota, Rivat 2005

- $\#\{n < 2^k : s_{[<k]}(n^2) = m\} = \frac{4m(k-m)^2}{k(4km - 2m^2 - k^2)} \binom{k}{m} (1 + \mathcal{O}(k^{-1}))$.
uniformly for $(1 - 1/\sqrt{2} + \varepsilon)k \leq m \leq (1 - \varepsilon)k$.
- $\#\{n < 2^k : s_{[\geq k]}(n^2) = m\} = G\left(\frac{m}{k-m}\right) \cdot \binom{k}{m} (1 + \mathcal{O}(k^{-1}))$,
uniformly for $(\xi_1/(1+\xi_1)+\varepsilon)k \leq m \leq (\xi_2/(1+\xi_2)-\varepsilon)k$, where $\xi_1 = 2^{5/6} - 1 = 0.78179\dots$ and $\xi_2 = 1 + \sqrt{2} + \sqrt{2(1 + \sqrt{2})} = 4.61158\dots$
and $G(x)$ is a continuous function.

Idea of the proof for primes

Lemma 1 *For every fixed integer $q \geq 2$ there exist two constants $c_1 > 0$, $c_2 > 0$ such that for every k with $(k, q - 1) = 1$*

$$\sum_{\substack{p \leq N \\ p \equiv k \pmod{q-1}}} e(\alpha s_q(p)) \ll (\log N)^3 N^{1-c_1\|(q-1)\alpha\|^2}$$

uniformly for real α with $\|(q-1)\alpha\| \geq c_2(\log N)^{-\frac{1}{2}}$.

Remark. This is a refined version of the previous estimate by Mauduit and Rivat.

Idea of the proof for primes

Lemma 2 Suppose that $0 < \nu < \frac{1}{2}$ and $0 < \eta < \frac{\nu}{2}$. Then for every k with $(k, q - 1) = 1$ we have

$$\begin{aligned} \sum_{p \leq N, p \equiv k \pmod{q-1}} e(\alpha s_q(p)) &= \frac{\pi(N)}{\varphi(q-1)} e(\alpha \mu_q \log_q N) \\ &\quad \times \left(e^{-2\pi^2 \alpha^2 \sigma_q^2 \log_q N} (1 + O(|\alpha|)) + O(|\alpha| (\log N)^\nu) \right) \end{aligned}$$

uniformly for real α with $|\alpha| \leq (\log N)^{\eta - \frac{1}{2}}$.

Idea of the proof for primes

Lemma 1 + 2 imply the result

Set

$$S(\alpha) := \sum_{p \leq N} e(\alpha s_q(p)) \quad \text{and} \quad S_k(\alpha) := \sum_{p \leq N, p \equiv k \pmod{q-1}} e(\alpha s_q(p)).$$

Then by using $s_q(p) \equiv p \pmod{q-1}$ we get

$$\begin{aligned} \#\{p \leq N : s_q(p) = k\} &= \int_{-\frac{1}{2(q-1)}}^{\frac{1}{2(q-1)}} S(\alpha) e(-\alpha k) d\alpha \\ &= (q-1) \int_{-\frac{1}{2(q-1)}}^{\frac{1}{2(q-1)}} \left(\sum_{p \leq N, p \equiv k \pmod{q-1}} e(\alpha s_q(p)) \right) e(-\alpha k) d\alpha \\ &= (q-1) \int_{-\frac{1}{2(q-1)}}^{\frac{1}{2(q-1)}} S_k(\alpha) e(-\alpha k) d\alpha. \end{aligned}$$

Idea of the proof for primes

We split up the integral

$$\int_{-\frac{1}{2(q-1)}}^{\frac{1}{2(q-1)}} = \boxed{\int_{|\alpha| \leq (\log N)^{\eta-1/2}} + \boxed{\int_{(\log N)^{\eta-1/2} < |\alpha| \leq 1/(2(q-1))}}$$

From **Lemma 1** we get an upper bound for the **second integral**

$$\int_{(\log N)^{\eta-1/2} < |\alpha| \leq 1/(2(q-1))} S_k(\alpha) e(-\alpha k) d\alpha \ll (\log N)^2 N e^{-c_1(q-1)^2(\log N)^{2\eta}} \\ \ll \frac{\pi(N)}{\log N}.$$

Idea of the proof for primes

Set

$$\alpha := t/(2\pi\sigma_q \sqrt{\log_q N}) \quad \text{and} \quad \boxed{\Delta_k = \frac{k - \mu_q \log_q N}{\sqrt{\sigma_q^2 \log_q N}}}.$$

Then by **Lemma 2** we have an asymptotic expansion for the **first integral**:

$$\begin{aligned} & \int_{|\alpha| \leq (\log N)^{\eta-1/2}} S_k(\alpha) e(-\alpha k) d\alpha \\ &= \frac{\pi(N)}{\varphi(q-1)} \int_{|\alpha| \leq (\log N)^{\eta-1/2}} e(\alpha(\mu_q \log_q N - k)) e^{-2\pi^2 \alpha^2 \sigma_q^2 \log_q N} \cdot (1 + O \\ & \quad + O\left(\pi(N) \int_{|\alpha| \leq (\log N)^{\eta-1/2}} |\alpha| (\log N)^\nu d\alpha\right)) \\ &= \frac{1}{\varphi(q-1)} \frac{\pi(N)}{2\pi\sigma_q \sqrt{\log_q N}} \boxed{\int_{-\infty}^{\infty} e^{it\Delta_k - t^2/2} dt} + O\left(\pi(N) e^{-2\pi^2 \sigma_q^2 (\log N)^{2\eta}}\right) \\ & \quad + O\left(\frac{\pi(N)}{\log N}\right) + O\left(\frac{\pi(N)}{(\log N)^{1-\nu-2\eta}}\right) \\ &= \frac{1}{\varphi(q-1)} \frac{\pi(N)}{\sqrt{2\pi\sigma_q^2 \log_q N}} \left(\boxed{e^{-\Delta_k^2/2}} + O((\log N)^{-\frac{1}{2}+\nu+2\eta}) \right). \end{aligned}$$

Idea of the proof for primes

Proof idea of Lemma 1

Lemma 1 *For every fixed integer $q \geq 2$ there exist two constants $c_1 > 0$, $c_2 > 0$ such that for every k with $(k, q - 1) = 1$*

$$\sum_{\substack{p \leq N \\ p \equiv k \pmod{q-1}}} e(\alpha s_q(p)) \ll (\log N)^3 N^{1-c_1\|(q-1)\alpha\|^2}$$

uniformly for real α with $\|(q-1)\alpha\| \geq c_2(\log N)^{-\frac{1}{2}}$.

Idea of the proof for primes

Proof idea of Lemma 1: Vaughan's method

Let $q \geq 2$, $x \geq q^2$, $0 < \beta_1 < 1/3$, $1/2 < \beta_2 < 1$. Let g be an arithmetic function. Suppose that uniformly for all real numbers $M \leq x$ and all complex numbers a_m, b_n such that $|a_m| \leq 1$, $|b_n| \leq 1$, we have

$$\max_{\frac{x}{qM} < t \leq \frac{xq}{M}} \sum_{\frac{M}{q} < m \leq M} \left| \sum_{\frac{x}{qm} < n \leq t} g(mn) \right| \leq U \quad \text{for } M \leq x^{\beta_1} \quad (\text{type I}),$$

$$\left| \sum_{\frac{M}{q} < m \leq M} \sum_{\frac{x}{qm} < n \leq \frac{x}{m}} a_m b_n g(mn) \right| \leq U \quad \text{for } x^{\beta_1} \leq M \leq x^{\beta_2} \quad (\text{type II})$$

Then

$$\left| \sum_{x/q < n \leq x} \Lambda(n) g(n) \right| \ll U (\log x)^2.$$

Idea of the proof for primes

Proof idea of Lemma 1: Type I - sums

For $q \geq 2$, $x \geq 2$, and for every α such that $(q-1)\alpha \in \mathbb{R} \setminus \mathbb{Z}$ we have

$$\max_{\frac{x}{qM} < t \leq \frac{xq}{M}} \sum_{M/q < m \leq M} \left| \sum_{\frac{x}{qm} < n \leq t} e(\alpha s_q(mn)) \right| \ll_q x^{1-\kappa_q(\alpha)} \log x$$

for $1 \leq M \leq x^{1/3}$ and

$$0 < \kappa_q(\alpha) := \min \left(\frac{1}{6}, \frac{1}{3}(1 - \gamma_q(\alpha)) \right)$$

where $0 \leq \gamma_q(\alpha) < 1$ is defined by

$$q^{\gamma_q(\alpha)} = \max \left(1, \max_{t \in \mathbb{R}} \sqrt{\varphi_q(\alpha + t) \varphi_q(\alpha + qt)} \right)$$

with

$$\varphi_q(t) = \begin{cases} |\sin \pi q t| / |\sin \pi t| & \text{if } t \in \mathbb{R} \setminus \mathbb{Z}, \\ q & \text{if } t \in \mathbb{Z}. \end{cases}$$

Idea of the proof for primes

Proof idea of Lemma 1: Type II - sums

For $q \geq 2$, there exist β_1 , β_2 and δ verifying $0 < \delta < \beta_1 < 1/3$ and $1/2 < \beta_2 < 1$ such that for all α with $(q - 1)\alpha \in \mathbb{R} \setminus \mathbb{Z}$, there exist $\xi_q(\alpha) > 0$ for which, uniformly for all complex numbers b_n with $|b_n| \leq 1$, we have

$$\sum_{q^{\mu-1} < m \leq q^\mu} \left| \sum_{q^{\nu-1} < n \leq q^\nu} b_n e(\alpha s_q(mn)) \right| \ll_q (\mu + \nu) q^{(1 - \frac{1}{2}\xi_q(\alpha))(\mu + \nu)},$$

whenever

$$\beta_1 - \delta \leq \frac{\mu}{\mu + \nu} \leq \beta_2 + \delta.$$

Idea of the proof for primes

Proof idea of Lemma 1: Methods

- Van-der-Corput Inequality
- Neglecting “large” digits \longrightarrow periodic structure
- **Discrete Fourier analysis** with Fourier terms

$$F_\lambda(h, \alpha) = q^{-\lambda} \sum_{0 \leq u < q^\lambda} e(\alpha s_q(u) - huq^{-\lambda}).$$

Idea of the proof for primes

Proof idea of Lemma 2:

Lemma 2 Suppose that $0 < \nu < \frac{1}{2}$ and $0 < \eta < \frac{\nu}{2}$. Then for every k with $(k, q - 1) = 1$ we have

$$\begin{aligned} \sum_{p \leq N, p \equiv k \pmod{q-1}} e(\alpha s_q(p)) &= \frac{\pi(N)}{\varphi(q-1)} e(\alpha \mu_q \log_q N) \\ &\quad \times \left(e^{-2\pi^2 \alpha^2 \sigma_q^2 \log_q N} (1 + O(|\alpha|)) + O(|\alpha| (\log N)^\nu) \right) \end{aligned}$$

uniformly for real α with $|\alpha| \leq (\log N)^{\eta - \frac{1}{2}}$.

Idea of the proof for primes

Proof idea of Lemma 2: Interpretation as sum of random variables

$$S_N = S_N(p) = s_q(p) = \sum_{j \leq \log_q N} \varepsilon_j(p).$$

Lemma 2 is equivalent to

$$\varphi_1(t) := \mathbb{E} e^{it(S_N - L\mu_q)/(L\sigma_q^2)^{1/2}} = e^{-t^2/2} \left(1 + O\left(\frac{|t|}{\sqrt{\log N}}\right) \right) + O\left(\frac{|t|}{(\log N)^{\frac{1}{2}-\nu}}\right)$$

that is uniform for $|t| \leq (\log N)^\eta$.

Idea of the proof for primes

Proof idea of Lemma 2: Truncation of digits

$$L = \log_q N, \quad L' = \#\{j \in \mathbb{Z} : L^\nu \leq j \leq L - L^\nu\} = L - 2L^\nu + O(1) \quad (0 < \nu < \frac{1}{2}),$$

$$T_N = T_N(p) = \sum_{L^\nu \leq j \leq L - L^\nu} \varepsilon_j(p) = \sum_{L^\nu \leq j \leq L - L^\nu} D_j,$$

$$\varphi_2(t) := \mathbb{E} e^{it(T_N - L'\mu_q)/(L'\sigma_q^2)^{1/2}}$$

We have, uniformly for all real t

$$|\varphi_1(t) - \varphi_2(t)| = O\left(\frac{|t|}{(\log N)^{\frac{1}{2}-\nu}}\right)$$

Idea of the proof for primes

Proof idea of Lemma 2: Approximation by sum of iid random variables

Z_j ($j \geq 0$) iid random variables with range $\{0, 1, \dots, q-1\}$ and

$$\mathbf{P}\{Z_j = \ell\} = \frac{1}{q}.$$

$$\boxed{\bar{T}_N := \sum_{L^\nu \leq j \leq L - L^\nu} Z_j}.$$

We have

$$\mathbb{E} \bar{T}_N = L' \mu_q \quad \text{and} \quad \mathbb{V} \bar{T}_N = L' \sigma_q^2$$

and

$$\boxed{\varphi_3(t) := \mathbb{E} e^{it(\bar{T}_N - L' \mu_q)/(L' \sigma_q^2)^{1/2}} = e^{-t^2/2} \left(1 + O\left(\frac{|t|}{\sqrt{\log N}}\right) \right)}$$

uniformly for $|t| \leq (\log N)^{\frac{1}{2}}$. (This is the classical central limit theorem.)

Idea of the proof for primes

Proof idea of Lemma 2: quantification

We have uniformly for real t with $|t| \leq L^\eta$

$$|\varphi_2(t) - \varphi_3(t)| = O\left(|t|e^{-c_1 L^\kappa}\right),$$

where $0 < 2\eta < \kappa < \nu$. and $c_1 > 0$ is a constant depending on η and κ .

This estimate directly proves Lemma 2.

Remark: Taylor' theorem gives for random variables X, Y :

$$\begin{aligned} \mathbb{E}e^{itX} - \mathbb{E}e^{itY} &= \sum_{d < D} \sum_{0 \leq d < D} \frac{(it)^d}{d!} (\mathbb{E} X^d - \mathbb{E} Y^d) \\ &+ O\left(\frac{|t|^D}{D!} |\mathbb{E} |X|^D - \mathbb{E} |Y|^D| + \frac{|t|^D}{D!} \mathbb{E} |Y|^D\right). \end{aligned}$$

Idea of the proof for primes

Proof idea of Lemma 2: comparision of moments

We have uniformly for $1 \leq d \leq L'$

$$\mathbb{E} \left(\frac{T_N - L' \mu_q}{\sqrt{L' \sigma_q^2}} \right)^d = \mathbb{E} \left(\frac{\bar{T}_N - L' \mu_q}{\sqrt{L' \sigma_q^2}} \right)^d + O \left(\left(\frac{4q}{\sigma_q} \right) L^{(\frac{1}{2} + \nu)d} e^{-c_4 L^\nu} \right),$$

which can be reduced to **compare frequencies**

$$\begin{aligned} \Pr\{D_{j_1, M} = \ell_1, \dots, D_{j_d, N} = \ell_d\} \\ = \Pr\{Z_{j_1} = \ell_1, \dots, Z_{j_d} = \ell_d\} + O((4L^\nu)^d e^{-c_4 L^\nu}) \end{aligned}$$

Recall:

$$T_N := \sum_{L^\nu \leq j \leq L - L^\nu} D_j, \quad \bar{T}_N := \sum_{L^\nu \leq j \leq L - L^\nu} Z_j$$

Idea of the proof for primes

Proof idea of Lemma 2: comparision of moments

Proof of this property uses

- exponential sum estimates over primes

$$\sum_{p \leq x} e\left(\frac{A}{Q} p\right) \ll (\log x)^5 x q^{-K/2}$$

- Erdős-Turán inequality

$$\text{Discrepancy of } (x_n) \ll \frac{1}{H} + \sum_{h=1}^H \frac{1}{h} \left| \frac{1}{N} e(hx_n) \right|,$$

- trivial observation:

$$\epsilon_j(n) = d \iff \left\{ \frac{n}{q^{j+1}} \right\} \in \left[\frac{d}{q}, \frac{d+1}{q} \right).$$

Open problem

Cubes:

distribution properties of $s_q(n^3)$??

General problem:

Let S be a set of natural numbers that are determined by congruence conditions and bounds on the exponents of the prime factorization.

What is the distribution of $(s_q(n))_{n \in S}$??

Examples: primes, squares, cubes, square-free numbers etc.

Thank You!