

## Set Theory pt. 4

More details on exercise 8 from one before last time.

**Exercise 1** Show that the Axiom of Choice follows from Zorn's Lemma.

**Hint:** Let  $x$  be a collection of non-empty sets and consider a maximal partial choice function.

**Definition 1** If  $A$  is a set of ordinals, we let  $\sup A$  denote the supremum of  $A$ , i.e. the least ordinal  $\alpha$  so that for all  $\gamma$  in  $A$ ,  $\gamma \leq \alpha$ . We define addition, multiplication and exponentiation of ordinals as follows ( $\gamma$  always denotes a limit ordinal):

$$\begin{array}{lll} \alpha + 0 = \alpha & \alpha + (\beta + 1) = (\alpha + \beta) + 1 & \alpha + \gamma = \sup\{\alpha + \beta : \beta < \gamma\} \\ \alpha \cdot 0 = 0 & \alpha \cdot (\beta + 1) = (\alpha \cdot \beta) + \alpha & \alpha \cdot \gamma = \sup\{\alpha \cdot \beta : \beta < \gamma\} \\ \alpha^0 = 1 & \alpha^{\beta+1} = \alpha^\beta \cdot \alpha & \alpha^\gamma = \sup_{\beta < \gamma} \alpha^\beta \end{array}$$

**Exercise 2** Show that<sup>1</sup>

- If  $A$  is a set of ordinals,  $\sup A = \bigcup A$ ; therefore  $\sup A$  always exists,
- $1 + \omega = \omega$ ,
- $\omega + \omega \cdot \omega = \omega \cdot \omega$ ,
- $\omega \cdot \omega^\omega = \omega^\omega$ .

**Note:** In the following,  $\alpha, \beta, \gamma, \delta$  always denote ordinals without further mention.

**Exercise 3 (transfinite induction)** Show that the principle of transfinite induction, which will be necessary for some of the subsequent exercises, is a theorem of ZFC:

For any formula  $\varphi$  (which may also use parameters),

$$(\forall \gamma ((\forall \beta < \gamma \varphi(\beta)) \rightarrow \varphi(\gamma))) \rightarrow \forall \gamma \varphi(\gamma).$$

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<sup>1</sup>like usually in mathematics, exponentiation binds stronger than multiplication, which in turn binds stronger than addition, i.e. if we write  $8 + 2^5 \cdot 7$ , this is supposed to mean  $8 + ((2^5) \cdot 7)$

**Hint:** Assume for a contradiction that the left-hand side of the implication holds but  $\gamma$  is least such that  $\neg\varphi(\gamma)$ .

**Exercise 4** If  $\alpha < \beta$ , then  $\gamma + \alpha < \gamma + \beta$  and  $\alpha + \gamma \leq \beta + \gamma$ . Give an example why  $\leq$  cannot be replaced by  $<$ .

**Exercise 5** Assume  $\alpha < \beta$  and show that there is a unique  $\delta \leq \beta$  such that  $\alpha + \delta = \beta$ .

**Exercise 6** If  $\beta$  is a limit ordinal, then  $\alpha + \beta$ ,  $\alpha \cdot \beta$  and  $\beta \cdot \alpha$  are limit ordinals, for any  $\alpha$ . If  $\beta$  is a successor ordinal, then  $\alpha + \beta$  is a successor ordinal for any  $\alpha$ . If  $\alpha$  and  $\beta$  are both successor ordinals, then  $\alpha \cdot \beta$  is a successor ordinal. What about ordinal exponentiation?

**Exercise 7** Does  $\alpha^{\beta+\gamma} = \alpha^\beta \cdot \alpha^\gamma$  hold?

**Exercise 8**

- Let  $\alpha$  be any ordinal and show that there exists a largest  $\delta$  such that  $\omega^\delta \leq \alpha$ .
- Let  $\alpha$  be any ordinal and let  $\delta$  be maximal such that  $\omega^\delta \leq \alpha$ . Then there exists a largest  $n < \omega$  such that  $\omega^\delta \cdot n \leq \alpha$ .

**Exercise 9 (Cantor's Normal Form Theorem)** Each ordinal  $\alpha \neq 0$  can be written in the following form

$$\alpha = \omega^{\beta_1} \cdot k_1 + \dots + \omega^{\beta_n} \cdot k_n,$$

where  $1 \leq n < \omega$ ,  $\alpha \geq \beta_1 > \dots > \beta_n \geq 0$  and  $1 \leq k_i < \omega$  for each  $i = 1, \dots, n$ .

**Exercise 10** Show that there is a least ordinal number  $\varepsilon_0$  so that  $\omega^{\varepsilon_0} = \varepsilon_0$ . Show (using induction and Cantor's Normal Form Theorem) that every ordinal number below  $\varepsilon_0$  can be written in a form which only uses the constant 0 and the functions  $x + y$ ,  $x \cdot y$  and  $\omega^x$ .