

# Homework Assignment 4 - Bialgebras and Hopf algebras

Hopf algebras - Spring Semester 2018

## Exercise 1

Fix  $n \geq 0$  and for an algebra  $A$  consider the functor  $O_n(A) := \{M \in M_n(A) \mid MM^T = Id\}$ . Find a commutative Hopf algebra  $H_n$  such that we have the natural isomorphism

$$O_n(-) \simeq \text{Alg}_k(H, -).$$

*Proof.* Take  $H_n = k[(T_{i,j})_{1 \leq i,j \leq n} \mid (T_{i,j})_{i,j}(T_{j,i})_{i,j} = I]$  with  $\Delta(T_{i,j}) = \sum_{\ell} T_{i,\ell} \otimes T_{\ell,j}$  and  $\epsilon(T_{i,j}) = \delta_{i,j}$ . □

## Exercise 2

- a) Let  $A \subset B$  be  $k$ -algebras, and let  $A^\times, B^\times$  the sets of invertible elements in the respective algebras.

Suppose that  $A$  is finite dimensional. Show that  $A^\times = B^\times \cap A$ .

- b) Suppose that  $H \subset B$  are bialgebras over  $k$ ,  $H$  is a Hopf algebra and  $B$  finite dimensional.

Then  $B$  is a Hopf algebra.

- c) Suppose that  $H, B$  are bialgebras over  $k$ ,  $H$  is a Hopf algebra and  $B$  finite dimensional. Suppose that there is a surjective map  $\phi : H \rightarrow B$ .

Then  $B$  is a Hopf algebra.

*Proof.* a) Clearly  $A^\times \subset B^\times \cap A$ . Take now an element  $a \in B^\times \cap A$  and consider the isomorphism  $\phi : B \rightarrow B$  given by  $\phi : x \mapsto ax$ .

We have  $\phi(A) \subset A$ , and  $\phi|_A$  is injective. By a dimension argument,  $\phi(A) = A$ , so in particular,  $\exists b \in A$  s.t.  $ab = 1$ , so  $a \in A^\times$ , as desired.

- b) We just need to find an antipode in  $B$ . Take the antipode  $s : H \rightarrow H$  in the Hopf algebra  $H$ , and the inclusion  $\iota : B \rightarrow H$ . Since  $\text{Hom}_k(\iota, H) : \text{Hom}_k(H, H) \rightarrow \text{Hom}_k(B, H)$  is an algebra homomorphism,  $\iota = \text{Hom}_k(\iota, H)(\text{id}_H)$  and  $\iota \circ s = \text{Hom}_k(\iota, H)(s)$  are  $*$ -inverses in the  $k$ -algebra  $\text{Hom}_k(B, H)$ .

$$\begin{array}{ccc}
& \text{Hom}_k(H, H) & \text{id}_H \\
& \downarrow \text{Hom}_k(\iota, H) & \downarrow \\
\text{Hom}_k(B, B) & \xleftarrow{\text{Hom}_k(B, \iota)} \text{Hom}_k(B, H) & \\
& \downarrow \text{id}_B & \downarrow \\
& \text{id}_B & \iota \in \text{Hom}_k(B, H)
\end{array} \tag{1}$$

Now  $\text{Hom}_k(B, B)$  is identified with a finite dimensional subalgebra of  $\text{Hom}_k(B, H)$ , where  $\text{id}_B = \iota \in \text{Hom}_k(B, H) \times \cap \text{Hom}_k(B, B)$ . By the previous exercise,  $\text{id}_B$  is invertible in  $\text{Hom}_k(B, B)$ , and  $B$  is a Hopf algebra.

c) Again we need only to find an antipode in  $B$ .

The map  $\phi$  is surjective, hence  $\text{Hom}_k(\phi, B)$  is an injective algebra homomorphism, so we can identify  $\text{Hom}_k(B, B) \subset \text{Hom}_k(H, B)$ . Note that  $\phi = \text{Hom}_k(\phi, B)(\text{id}_B)$ , so  $\phi \in \text{Hom}_k(B, B)$ .

$$\begin{array}{ccc}
& \text{Hom}_k(H, H) & \text{id}_H \\
& \downarrow \text{Hom}_k(H, \phi) & \downarrow \\
\text{Hom}_k(B, B) & \xleftarrow{\text{Hom}_k(\phi, B)} \text{Hom}_k(H, B) & \\
& \downarrow \text{id}_B & \downarrow \\
& \text{id}_B & \phi \in \text{Hom}_k(B, H)
\end{array} \tag{2}$$

As in the previous exercise,  $\phi$  is invertible in  $\text{Hom}_k(B, H)$  and its inverse is given as  $\text{Hom}_k(H, \phi)(s) = \phi \circ s$ . So  $\phi \in \text{Hom}_k(B, H)^\times \cap \text{Hom}_k(B, B) = \text{Hom}_k(B, B)^\times$  by exercise a), as desired.

□

### Exercise 3 - Kernel of counit

Suppose that  $B$  is a bialgebra, and denote  $B^+ = \ker(\epsilon)$  the augmentation ideal. Show that if  $x \in B^+$ , then

$$\Delta(x) \in x \otimes 1 + 1 \otimes x + H^+ \otimes H^+.$$

*Proof.* It is easy to see that  $\Delta(x) - 1 \otimes x - x \otimes 1$  is in the kernel of the maps  $\epsilon \otimes \text{id}_H$  and  $\text{id}_H \otimes \epsilon$ , by using the counit property and that  $\epsilon(x) = 0$ .

Now the following is exact

$$0 \rightarrow B^+ \rightarrow B \xrightarrow{\epsilon} k \rightarrow 0,$$

so tensoring with  $H$  along the sequence in each side we obtain that  $\ker(\epsilon \otimes \text{id}_B) = B^+ \otimes B$  and that  $\ker(\text{id}_B \otimes \epsilon) = B \otimes B^+$ .

So  $\Delta(x) - 1 \otimes x - x \otimes 1 \in (B^+ \otimes B) \cap (B \otimes B^+) = B^+ \otimes B^+$  holds in vector spaces, as desired. □

## Exercise 4 - Dedekind's argument

Take a bialgebra  $B$  and  $x \in B$  a non-zero primitive element, i.e.  $\Delta x = a \otimes x + x \otimes 1$ .

Suppose that  $\text{char}(k) = 0$ . Show that  $\{1, x, x^2, \dots\}$  is l.i.

*Proof.* Suppose that  $\sum_{k=0}^n p_k x^k = 0$  such that  $p_n \neq 0$ , and suppose that  $n$  is minimal in this way. Note that since  $x \neq 0$ , we have that  $n \geq 2$ . In particular,  $1, x, \dots, x^{n-1}$  form a linearly independent set. Consequently,  $\{x^r \otimes x^s\}_{0 \leq r, s, \leq n-1}$  is a linearly independent set.

So, from  $\Delta x = 1 \otimes x + x \otimes 1$  we see that  $\Delta x^k = \sum_{r+s=k} \binom{k}{r} x^r \otimes x^s$ , and consequently:

$$\begin{aligned}
 0 \otimes 0 &= \Delta\left(\sum_{k=0}^n p_k x^k\right) = \sum_{k=0}^n p_k \sum_{r+s=k} \binom{k}{r} x^r \otimes x^s \\
 &= 1 \otimes x^n + x^n \otimes 1 + \sum_{k=0}^{n-1} p_k \sum_{\substack{r+s=k \\ r, s < n}} \binom{k}{r} x^r \otimes x^s \\
 &= 1 \otimes \left(\sum_{k=0}^{n-1} -\frac{p_k}{p_n} x^k\right) + \left(\sum_{k=0}^{n-1} -\frac{p_k}{p_n} x^k\right) \otimes 1 + \sum_{k=0}^n p_k \sum_{\substack{r+s=k \\ r, s < n}} \binom{k}{r} x^r \otimes x^s \tag{3} \\
 &= \left(\sum_{k=0}^{n-1} -\frac{p_k}{p_n} 1 \otimes x^k - \frac{p_k}{p_n} x^k \otimes 1\right) + \sum_{k=0}^n p_k \sum_{\substack{r+s=k \\ r, s < n}} \binom{k}{r} x^r \otimes x^s.
 \end{aligned}$$

This contradicts the linear independence of  $\{x^r \otimes x^s\}_{0 \leq r, s, \leq n-1}$ , and we conclude that  $\{x^n\}_{n \geq 0}$  form a linear independent set.  $\square$

## Exercise 5 - Primitive elements

For a Hopf algebra  $H$ , let  $P(H) = \{x \in H \mid \Delta x = 1 \otimes x + x \otimes 1\}$  be the set of primitive elements.

- Suppose that  $G$  is a group, and take the Hopf algebra  $H = k[G]$ , where  $\Delta(g) = g \otimes g$ . Then  $P(H) = 0$ .
- If  $G$  is a finite group, then  $P(k^G) = \text{Hom}_{\text{Ab}}(G, k)$ .
- For a variable  $T$ , compute  $P(k[T])$  for  $\text{char } k = 0$  and  $\text{char } k = p > 0$ .

*Proof.* a) Take a generic element  $x = \sum_{g \in G} a_g g$  such that  $\Delta x = 1 \otimes x + x \otimes 1$ . Recall that  $1 = \text{id}_G$ .

Note that

$$1 \otimes x + x \otimes 1 = \sum_{g \in G} a_g (\text{id} \otimes g + g \otimes \text{id}_G) \tag{4}$$

$$\Delta(x) = \sum_{g, h \in G} a_g g \otimes g.$$

So, by linear independence of  $\{g \otimes h\}_{g,h \in G}$  we obtain that  $a_g = 0$  for every  $g \neq id_G$ , and  $a_{id_G} = 2a_{id_G}$ . Hence,  $x = 0$  and  $P(H) = 0$  is the zero vector space.

b) We have that  $x$  is primitive if and only if

$$\Delta(x)(g \otimes h) = (1 \otimes x + x \otimes 1)(g \otimes h) \quad \forall g, h \in G,$$

or equivalently, if and only of

$$x(gh) = x(g) + x(h),$$

i.e., if and only if  $x$  is a group homomorphism.

c) First, note that  $\Delta T = 1 \otimes T + T \otimes 1$ , so  $T \in P(k[T])$ . Suppose that  $x = \sum_{n=0}^m a_n T^n$ . Note that:

$$1 \otimes x + x \otimes 1 = 2a_0 1 \otimes 1 + \sum_{n=1}^m a_n T^n \otimes 1 + \sum_{n=1}^m a_n 1 \otimes T^n \quad (5)$$

$$\Delta(x) = \sum_{0 \leq r, s \leq m} a_{r+s} \binom{r+s}{r} T^r \otimes T^s.$$

By linear independence, we obtain that  $x$  is primitive if and only if

$$\begin{aligned} 2a_0 &= a_0 \\ a_n &= \binom{n}{0} a_n \quad \forall n > 0 \\ 0 &= \binom{r+s}{r} a_{r+s} \quad \forall r, s > 0. \end{aligned} \quad (6)$$

This readily implies that  $a_0 = 0$ . Now we study two cases separately: call  $p = \text{char } k$ .

- (a) **Case  $p = 0$ :** If  $p = 0$  then  $0 = \binom{r+s}{r} a_{r+s} \Rightarrow a_{r+s} = 0$ , so we obtain that  $a_n = 0$  for each  $n \geq 2$ . Consequently,  $P(k[T]) = \text{span } \{T\}$ .
- (b) **Case  $p > 0$  prime number:** If  $p > 0$  then we only have  $0 = \binom{r+s}{r} a_{r+s} \Rightarrow a_{r+s} = 0$  whenever  $\binom{r+s}{r}$  is not a multiple of  $p$ .

If  $n$  is not a power of  $p$ , take  $r = p^k$  the biggest power of  $p$  smaller than  $n$ , and  $s = n - r$ . Then it follows from Kummer's theorem that  $\binom{n}{r}$  is not a multiple of  $p$ , and so  $a_n = 0$ .

Also, if  $n = p^k$  is a power of  $p$ , then, from Kummer's theorem,  $\binom{n}{s}$  is a multiple of  $p$  for every positive  $s < n$ . We conclude that  $T^n$  is a primitive element of  $k[T]$ , and so we conclude that

$$P(k[T]) = \text{span } \{T^n | n \text{ is a power of } p\}.$$

□